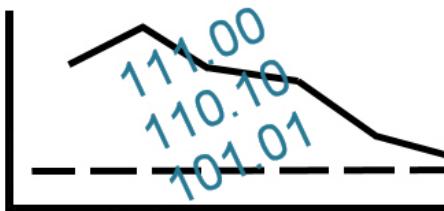


Surveillance and Evaluation Data Resources for Comprehensive Tobacco Control Programs



**Tobacco Use Prevention
Data & Evaluation Workgroup**

This document contains excerpts from:

MacDonald G, Starr G, Schooley M, Yee SL, Klimowski K, Turner K. *Introduction to Program Evaluation for Comprehensive Tobacco Control Programs*. Atlanta (GA): Centers for Disease Control and Prevention; 2001.

Note: Only a small portion of the references and citations from the complete document are included in these excerpts.

Surveillance and Evaluation Data Resources for Comprehensive Tobacco Control Programs

Appendix A is an at-a-glance compilation of sources of data useful for tobacco control programs that are conducting surveillance or evaluation. Our objective is to provide basic information on each data source to assist state tobacco control programs in identifying data that are relevant to planning, monitoring, and evaluation. The data sources listed here provide a wide variety of tobacco-related information. For example, the NTCP Chronicle and local program monitoring have useful data on programmatic activities; restaurant and work-site surveys, key informant surveys, and third-party payer surveys have data on environmental policies and indicators; the Youth Tobacco Survey, Adult Tobacco Survey, and media evaluation surveys have data on individual knowledge, attitudes, and behaviors; and the cancer registries and hospital discharge records have data on health outcomes.

Data sources checked as “used frequently and comparable across states” are often used to help states develop tobacco program objectives. Data from these sources can be used to compare program impact and outcomes with those of other states and the nation as a whole.

The data sources are organized under major categories: national and state surveys, registries and vital statistics, and topic-specific tools. The columns in each table provide the following information:

Column 1: Data Source

- Name of the data source.
- General description of the data source.

Column 2: Tobacco-Related Indicators

- Topics on which information is available. For example, environmental tobacco smoke, tobacco-related policies, brand preferences, type of tobacco product (cigarette, cigar, pipe, smokeless tobacco, or bidi).
- The range in the number of tobacco-related questions included in the survey instrument, or—if applicable—within the core instrument, modules, or supplements.

Column 3: Sampling Frame

- The level of information available: national, state, community, or local.
- Details on target or study population (e.g., adults, pregnant women) or factors that were studied (e.g., media campaigns, number of telephone calls, hospital records).

Column 4: Methodology (a); Frequency (b); Years Completed (c)

- (a) Study design and data collection mode (e.g., random sample, telephone survey; convenience sample, unannounced interviews).
- (b) How often surveys are conducted (e.g., annually, periodically).
- (c) The years when data were collected.

Column 5: Comments

- Additional useful information.

Column 6: Contact

- Phone number or Internet address of the organization where you can obtain more information.

Not all of the data sources are available in every state.

Consequently, some states may consider investing funds to develop systems to address gaps in data. New data-collection systems should be directly relevant to state programmatic goals, objectives, and activities. However, prior to choosing data sources or investing resources to develop new data systems, programs should consider some of the following issues: timeliness, frequency, comparability, credibility, and available resources. For more information on these considerations, please see CDC's 2001 publication *An Introduction to Evaluation: Planning, Implementation and Use*, or contact the CDC's Office on Smoking and Health's State Surveillance and Evaluation Team (telephone: 770-488-5703).

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
✓ Adult Tobacco Survey (ATS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, bidi, kretek, and smokeless tobacco use. ■ ETS exposure and policies. ■ Cessation behaviors. ■ Health and social influences, parental involvement, media exposure, and other policy issues. <p>Number of questions: From 64 to 168.</p>	<p>State level.</p> <p>Subjects: Adults aged 18 or older.</p>	<p>a) Random design, telephone survey.</p> <p>b) Periodic.</p>	<p>State tobacco programs should work with BRFSS coordinators to design and implement an ATS.</p> <p>Centers for Disease Control and Prevention (CDC), Office on Smoking and Health, has developed a standardized instrument and optional questions for state use.</p>	<p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703.</p>
Adult Use of Tobacco Survey (AUTS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, smokeless tobacco use. ■ Age of initiation. ■ Exposure to ETS. ■ Brand preference. ■ Cessation behavior. ■ Knowledge and attitudes. 	<p>National level.</p> <p>Subjects: Adults aged 18 or older.</p>	<p>a) Random design, telephone survey.</p> <p>b) Periodic.</p> <p>c) 1964, 1966, 1970, 1986.</p>	<p>Most recent survey was completed in 1986.</p> <p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703.</p>	<p>National Technical Information Service. (703) 605-6585. www.ntis.gov</p>
✓ Behavioral Risk Factor Surveillance System (BRFSS)	<p>Topics:</p> <p>The tobacco topics vary by year. In 2001, they were—</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, smokeless tobacco, pipe, and bidi use. ■ Age of initiation. ■ Cessation behaviors. ■ ETS policies and rules. <p>Number of questions: From 5 to 17.</p>	<p>State level.</p> <p>Subjects: Adults age 18 or older.</p>	<p>a) Random design, telephone survey.</p> <p>b) Annual.</p> <p>c) 1984-present.</p>	<p>1996: CDC changed its definition of a cigarette smoker.</p> <p>1998: tobacco topics added to the optional modules, in addition to those in the core questionnaire.</p> <p>State tobacco programs should work with BRFSS coordinators to have tobacco-related questions added to state survey.</p>	<p>Division of Adult and Community Health, Centers for Disease Control and Prevention. www.cdc.gov/nccdphp/BRFSS</p> <p>State health departments.</p>

Table 1

✓Data are frequently used and comparable across states.
Abbreviations: ETS = environmental tobacco smoke.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Cancer Prevention Study (CPSII)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette use. ■ Age of initiation. ■ Brand preference. ■ Degree of inhalation. <p>Number of questions: From 3 to 9.</p> <p>Provides data on age and cause of death for a prospective cohort of 1.2 million people nationwide since 1982.</p> <p>Information about tobacco use, medical history, dietary habits, environment, and other health determinants are recorded and related to causes of death.</p>	<p>National level.</p> <p>Subjects: Adults aged 35 or older.</p>	<p>a) Cohort study with convenience sample, self-administered survey.</p> <p>b) Biennial follow-up.</p> <p>c) September 1982–present.</p>	More representative of middle class, white Americans (96% of sample) than the national population as a whole.	American Cancer Society. (404) 329-7762. www.cancer.org
✓ Current Population Survey (CPS)	<p>Topics: Periodic measures have included—</p> <ul style="list-style-type: none"> ■ Cigarette, pipe, cigar, and smokeless use. ■ Age of initiation. ■ ETS exposure. ■ Cessation behavior. <p>Number of questions: From 5 to 46.</p> <p>Provides a comprehensive body of data on the employment and unemployment experience of the U.S. population, classified by age, sex, race, and a variety of other characteristics.</p> <p>Periodic supplements have included tobacco-related measures.</p>	<p>National and state levels.</p> <p>Subjects: People aged 15 or older.</p>	<p>a) Random design, household interview with telephone follow-up.</p> <p>b) Periodic.</p> <p>c) 1988–present.</p>	<p>Includes self-reported and proxy-reported data, data from Tobacco Use Supplement available 1992–1993, 1995–1996, and 1998–1999.</p>	<p>National Cancer Institute. (301) 435-3848. http://appliedresearch.cancer.gov/RiskFactor/tobacco/index.html</p> <p>U.S. Census Bureau. (301) 457-4100 www.census.gov/apsd/techdoc/cps/cps-main.html</p>

Table 1 (continued)

✓Data are frequently used and comparable across states.

Abbreviations: ETS = environmental tobacco smoke.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Monitoring the Future (MTF)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette use. ■ Age of initiation. ■ Cessation behavior. ■ Brand preference. ■ Youth access. ■ Enforcement. ■ Media awareness. <p>Number of questions: From 3 to 64.</p>	<p>National level.</p> <p>Subjects: 8th, 10th, and 12th grade students, and young adults.</p>	<p>a) Random design, self-administered school-based survey, follow-up survey mailed to cohort population.</p> <p>b) Annual.</p> <p>c) 1975–present.</p>	<p>12th graders surveyed since 1975, and 8th and 10th graders surveyed since 1991.</p> <p>Annual follow-up questionnaires are mailed to a nationally representative sample of each high school graduating class for a number of years after their initial participation.</p> <p>Prevalence and trend data available for cohort population that is now between 35–40 years old.</p>	<p>National Institute on Drug Abuse. (888) 741-7242. www.monitoringthefuture.org www.isr.umich.edu</p>
National Health and Nutrition Examination Survey (NHANES)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, smokeless tobacco use. ■ ETS exposure. ■ Cessation behavior. ■ Brand preference. ■ Serum continue measurements. <p>Number of questions: From 35 to 62.</p>	<p>National level.</p> <p>Subjects: Households, families, and individuals aged 4 or older.</p>	<p>a) Random design; household and mobile unit survey. (b and c) Periodic: ■ 1971–1975. (NHANES I) ■ 1976–1980. (NHANES II) ■ 1988–1994. (NHANES III) ■ 1999–present.</p>	<p>This is the only major survey that provides serum cotinine measurements (for subjects age 4 and older).</p> <p>Low income persons, adolescents 12–19 years, persons 60+ years of age, African Americans and Mexican Americans are oversampled to provide significant data for these groups.</p>	<p>National Center for Health Statistics, Centers for Disease Control and Prevention. (301) 458-4681. www.cdc.gov/NCHS/nhanes</p>

Table 1 (continued)

Abbreviations: ETS = environmental tobacco smoke.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
National Health Interview Survey (NHIS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, bidi, smokeless tobacco use. ■ Age of initiation. ■ Cessation behavior. ■ ETS policies. ■ Exposure. <p>Number of questions: From 18 to 55.</p> <p>Provides data on U.S. health issues, including incidence and prevalence of acute and chronic conditions and people's knowledge and attitudes about health status and health care use. This is the primary source of data on current health issues in the United States. In addition to the basic survey protocol, each year there are supplements to the survey to collect information on specific topics.</p>	<p>Level: National level.</p> <p>Subjects:</p> <ul style="list-style-type: none"> ■ Adults aged 18 or older. In 1987, questionnaire redesign was fully implemented. 	<p>a) Random design, household survey. (b and c) Periodic.</p> <ul style="list-style-type: none"> ■ 1965–1987. ■ Annual. ■ 1990–present. 	<p>Tobacco measures are located in core questionnaire and optional modules.</p> <p>1997 redesign tripled state-specific sample size.</p> <p>Hispanics and African Americans are oversampled.</p> <p>Data from NHIS is used to monitor progress in achieving national Healthy People 2010 tobacco objectives related to adults.</p>	<p>National Center for Health Statistics, Centers for Disease Control and Prevention. (301) 458-4001. www.cdc.gov/nchs data</p>
National Household Survey on Drug Abuse (NHSDA)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, and smokeless tobacco use. ■ Age of initiation. <p>Number of questions: From 6 to 12.</p> <p>Provides data on the prevalence, patterns, knowledge and attitudes, and consequences of drug and alcohol use and abuse in the U.S. (including tobacco).</p>	<p>Level: National level.</p> <p>Subjects:</p> <ul style="list-style-type: none"> ■ People aged 12 or older (12–17, 18–35, ≥36). In 1998, direct state-level estimates were produced for 8 states, and indirect estimates were produced for others. 	<p>a) Random design, household survey. (b and c) Periodic.</p> <ul style="list-style-type: none"> ■ 1971–1988. ■ Annual. ■ 1990–present. 	<p>The survey provides estimates of the rate and number of tobacco users by gender, race/ethnicity, and region.</p> <p>State estimates are available for prevalence of tobacco use only.</p>	<p>Substance Abuse and Mental Health Services Administration. (301) 463-6239. www.samhsa.gov/statistics</p>

Table 1 (continued)

✓Data are frequently used and comparable across states.
Abbreviations: ATS = Adult Tobacco Survey. BRFSS = Behavioral Risk Factor Surveillance System. ETS = environmental tobacco smoke.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
National Youth Tobacco Survey (NYTS) ■ Provides data on knowledge, attitudes, and behaviors related to tobacco use.	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, bidi, kretek, smokeless tobacco use. ■ ETS exposure. ■ Media awareness. ■ Cessation behavior. ■ Youth access. <p>Number of questions: From 57 to 76.</p>	<p>Subjects: Students in grades 6–12.</p>	<p>a) Random design, self-administered in classroom. b) Annual. c) 1999–present.</p>	<p>Includes students in public and private schools. Serves as a national comparison to state YTS results.</p>	American Legacy Foundation. (202) 454-5555 www.americanlegacy.org
National Tobacco Control Program (NTCP) Chronicle ■ Provides data on the tobacco control and prevention activities of all 50 states and the District of Columbia funded through the CDC's NTCP.	<p>Topics: Using both quantitative and qualitative indicators, program progress is measured for the key goal areas—</p> <ul style="list-style-type: none"> ■ Preventing initiation and promoting quitting among youth. ■ Promoting quitting among adults. ■ Eliminating exposure to ETS. ■ Identifying and eliminating disparities. 	<p>Subjects: Tobacco control programs in 50 states and the District of Columbia.</p>	<p>a) Census, Web-based program monitoring system. b) Completed twice yearly, reporting on previous 6 months of activity. c) Fiscal Year 1999–present.</p>	<p>The NTCP Chronicle collects information on comprehensive tobacco control activities.</p>	<p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703. State health departments.</p>

Table 1 (continued)

Abbreviations: ETS = environmental tobacco smoke. YTS = Youth Tobacco Survey.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
✓ Pregnancy Risk Assessment Monitoring System (PRAMS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette use before and during pregnancy and in the child's early infancy. ■ ETS exposure. ■ Cessation counseling <p>Number of questions: From 6 to 9.</p>	<p>State level.</p> <p>Subjects: Mothers of infants 2–4 months old.</p>	<p>a) Random design, mail survey with telephone follow-up. b) Annual. c) 1988–present.</p>	<p>This is an ongoing survey. Availability of data depends on when states began participating.</p>	<p>Division of Reproductive Health, Centers for Disease Control and Prevention. (770) 488-5227. www.cdc.gov/nccdphp/drh</p>
✓ School Health Education Profiles (SHEP)	<p>Topics:</p> <p>The core survey includes all the tobacco questions—(6 questions on the lead health educator questionnaire and 13 questions on the principal questionnaire).</p> <p>■ Provides information on health education policies and programs through a survey for the lead health educator and a separate survey for the school principal.</p> <p>■ Formerly a School Tobacco Survey (STS) module for lead health educators and school principals was used to assess tobacco policies and programs.</p> <p>■ In 2001 the tobacco module was combined with the core surveys for lead health educators and school principals.</p>	<p>State level.</p> <p>Subjects: Middle/junior and senior high schools.</p>	<p>a) Random design, mail survey sent to school principles and lead health educators. b) Biennial (even years). c) 1994–present.</p> <p>■ School tobacco use policies for students, staff, and visitors. ■ Enforcement of policies. ■ Tobacco prevention curriculum. ■ Parental involvement in tobacco use prevention. ■ Cessation programs. ■ Retailer practices. ■ Tobacco advertising.</p>	<p>Different indicators are measured on different versions of the questionnaire.</p> <p>Number of questions: From 3 to 39.</p>	<p>Division of Adolescent and School Health, Centers for Disease Control and Prevention. (888) 231-6405. www.cdc.gov/nccdphp/dash</p> <p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703. www.cdc.gov/tobacco</p>

Table 1 (continued)

✓Data are frequently used and comparable across states.
Abbreviations: ETS = environmental tobacco smoke.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)			Comments	Contact
			Topics:	Frequency (b), Years Completed (c)	Comments		
School Health Policies and Programs Study (SHPPS)		State, district, school, classroom levels.	a) Random sample of school districts, schools, and classrooms of public and private schools grades K-12 using mail surveys at district level and on-site structured interviews at school and classroom level.			Division of Adolescent and School Health, Centers for Disease Control and Prevention. (888) 231-6405. www.cdc.gov/nccdphp/dash/SHPPS	
■ Monitors characteristics of health education and school health programs in middle/junior high and senior high schools.	■ Educational programs and curriculum. ■ Health services.	Subjects: Elementary, middle/junior high, and high schools.	b) Periodic.				
■ These school-based surveys are conducted biennially by state and local education and health agencies using representative samples of elementary, middle/junior high and senior high schools in their jurisdictions.	Number of questions: From 3 to 35.	State-level information provided by this survey includes only state education policies. Sample size: 1,500 middle schools/ 1,500 high schools.	c) 1994 and 2000.				
✓ Smoking Attributable Morbidity, Mortality, & Economic Costs (SAMMEC), software version 3.0		National and state levels.	a) Current Population Survey data are used to calculate YPLLs and productivity costs associated with SAM.	SAMMEC requires a population of at least 400,000 to create statistically valid estimates, and is therefore not useful for producing local-level estimates or estimates of population subgroups (e.g., by race/ethnicity).	Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703 www.cdc.gov/tobacco		
■ The SAMMEC software programs are Internet-based products designed to calculate the health and economic burden of smoking for adults and infants.	■ Calculates smoking-attributable mortality (SAM), years of potential life lost (YPLLs), direct medical expenditures and indirect productivity costs from cigarette smoking among adults.	Subjects: Adults aged 35 or older (Adult SAM-MEC) and infants aged 1 year or younger (MCH SAMMEC).	■ Direct medical care expenditures are estimated using National Medical Expenditure Survey data.	■ Maternal smoking data from PRAMS is used to calculate perinatal SAMs and YPLLs.	Division of Reproductive Health, Centers for Disease Control and Prevention. (770) 488-5372. www.cdc.gov/nccdphp/drh		
■ The two types of software, Adult SAMMEC and Maternal and Child Health SAMMEC, employ the latest scientific evidence on smoking-related diseases risks associated with current and former smoking, and the economic costs of smoking.	■ The MICH SAMMEC software calculates SAMs and YPLLs from low birth weight and Sudden Infant Death Syndrome (SIDS), and neonatal medical expenditures.	■ Health care utilization data from PRAMS and medical claims data are used to calculate smoking-attributable neonatal medical expenditures.	■ CDC provides estimates of average annual smoking-attributable mortality and years of potential life lost from 1995-1999 for the nation and 1999 data for states. Direct medical expenditures and mortality-related productivity loss estimates are provided for the nation and states for 1999.				

Table 1 (continued)

✓ Data are frequently used and comparable across states.
 Abbreviations: MCH = Maternal and Child Health. PRAMS = Pregnancy Risk Assessment Monitoring System.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
✓ State Tobacco Activities Tracking & Evaluation (STATE) System	<p>Topics:</p> <ul style="list-style-type: none"> ■ Adult and youth cigarette, cigar, pipe, and smokeless tobacco use. ■ ETS laws and policies. ■ Youth access laws. ■ Excise taxes. ■ Smoking-attributable costs. <p>The STATE System is a data warehouse that provides comparable measures on tobacco-use prevention and control from many different types of data sources, including legislative tracking, agricultural and manufacturing, and health consequences and costs.</p> <p>The system allows users to view comprehensive summary information on tobacco use in all 50 states and the District of Columbia.</p>	State level.	<p>a) Varies according to data source.</p> <p>c) Prevalence data from mid-1980 until 1999. Smoking attributable cost in 1993 only. Youth access laws and environmental laws from 1996 until 2000. Dates for excise taxes depend on year of enactment in the state.</p>	Provides comprehensive legislative and behavioral data.	<p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703 www.cdc.gov/tobacco</p>
Teenage Attitudes and Practices Survey (TAPS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette and smokeless tobacco use. ■ Brand preference. ■ Age of initiation. ■ Cessation behavior. ■ Media awareness. <p>Provides data on knowledge and attitudes such as perceived benefits and risks of tobacco use among teens.</p>	<p>National level.</p> <p>Subjects:</p> <ul style="list-style-type: none"> ■ Youth aged 12–18. ■ 1993 study includes youth aged 10–22. 	<p>a) Random design, household survey.</p> <p>b) Periodic.</p> <p>c) 1989 and 1993.</p>	<p>Limitations for this survey include a non-response bias for those re-interviewed in the second survey (those who were re-interviewed were less likely to have been smokers in 1989 than those who could not be re-interviewed).</p> <p>Also, the small number of African American and Hispanic adolescents in the second survey reduces the reliability of the brand preference estimates for those groups.</p> <p>The second survey (1993) included 87% of the respondents from the first survey, as well as youth from a new probability sample.</p>	<p>National Technical Information Service. (703) 605-6585. www.ntis.gov</p>

Table 1 (continued)

✓Data are frequently used and comparable across states.
Abbreviations: ETS = environmental tobacco smoke.

Table 1. National and State Surveys and Tools

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
✓ Youth Risk Behavior Surveillance System (YRBSS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, and smokeless tobacco use. ■ Age of initiation. ■ Youth access. ■ Enforcement. ■ Cessation behavior. <p>Number of questions: 12.</p> <p>1) tobacco use,</p> <p>2) alcohol and other drug use,</p> <p>3) sexual behaviors that contribute to unintended pregnancy and sexually transmitted disease,</p> <p>4) dietary behaviors,</p> <p>5) physical activity, and</p> <p>6) behaviors that result in violence and unintentional injuries.</p>	<p>National, state, and large city levels.</p> <p>Subjects:</p> <p>Students in grades 9–12.</p>	<p>a) Random design, self-administered in classroom.</p> <p>(b and c) 1990.</p> <p>Biennial (odd years).</p> <p>1991–present.</p>	<p>Data from YRBSS is used to monitor progress in achieving national Healthy People 2010 tobacco objectives related to young people.</p>	<p>Division of Adolescent and School Health, Centers for Disease Control and Prevention. (888) 231-6405. www.cdc.gov/yrbss</p>
✓ Youth Tobacco Survey (YTS)	<p>Topics:</p> <ul style="list-style-type: none"> ■ Cigarette, cigar, pipe, and smokeless tobacco use. ■ Age of initiation ■ Media awareness. ■ Youth access. ■ Cessation behavior. ■ ETS exposure. ■ School curriculum. <p>Number of questions: 63.</p>	<p>State level.</p> <p>Subjects:</p> <p>Students in grades 6–8 and 9–12.</p>	<p>a) Random design, self-administered in classroom.</p> <p>b) Conducted based on states' programmatic needs and in coordination with their surveillance and evaluation plans.</p>	<p>Schools selected with probability proportional to size, classrooms chosen randomly.</p> <p>Some states conduct the survey in middle schools or in high schools only, some in both.</p> <p>It is recommended that states include state-added questions to the core questionnaire.</p>	<p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703. www.cdc.gov/tobacco</p>

Table 1 (continued)

✓ Data are frequently used and comparable across states.
Abbreviations: ETS = environmental tobacco smoke.

Table 2. Registries and Vital Statistics

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Birth Certificate Data ■ Provides data on tobacco use by pregnant women.	Topics: ■ Indicators vary by state. ■ Smoking during pregnancy.	State level. Subjects: Women who recently gave birth.	a) Varies by state. Certificates completed by physicians, registered nurse, or patient at hospitals and clinics. Information may be obtained in person or based on patient's chart. b) Varies by state. c) Data is available since 1989 for some states.	Tobacco use may be under-reported. May be used at the sub-state level (i.e., counties, health districts).	State health departments.
Cancer Registry Data ■ Provides incidence data on smoking-related cancers. ■ Comprehensive, timely, and accurate data about cancer incidence, stage at diagnosis, first course of treatment, and deaths.	Topics: Indicators vary by state, since there are no national standards on reporting tobacco use history. ■ Smoking status. ■ Use of other tobacco products.	State level. Subjects: Adults and children.	a) Passive surveillance system from hospitals, physicians' offices, therapeutic radiation facilities, freestanding surgical centers, and pathology laboratories. Data are collected in person. b) Varies by state.	The registry systems vary across states. There is potential for under-reporting since physicians complete the forms and may not have access to patients' full medical records.	North American Association of Central Cancer Registries. www.naaccr.org Cancer Prevention and Control, Centers for Disease Control and Prevention. (888) 842-6355. www.cdc.gov/CANCER/npcr
Death Certificate Data ■ Provides data on causes of death. ■ Used to assess tobacco-related mortality.	Topics: Data on tobacco use varies by state. ■ ICD codes. ■ Tobacco-use status.	State level. Subjects: Deceased adults and children.	a) Certificates completed by physicians at hospitals and clinics. Demographics provided by the funeral director. b) Federal efforts to standardize reporting began in 1946 in the Bureau of the Census and moved to the National Center for Health Statistics in 1950.	Possible under-reporting of tobacco use because of physician bias. May be used at the sub-state level (i.e., counties, health districts) or in SAMMEEC for estimates of state impact.	National Center for Health Statistics, Cancer Prevention and Control, Centers for Disease Control and Prevention. (301) 435-4681. www.cdc.gov/nchs

Table 2

Abbreviations: ICD = International Classification of Disease. SAMMEEC = Smoking Attributable Morbidity, Mortality, and Economic Costs.

Table 3. Topic-Specific Tools: Health Systems and Clinical Settings

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Health Provider Surveys ■ Monitors medical practices and policies.	Topics: ■ Cessation policies. ■ Clinical practices related to tobacco use.	Subjects: Physicians, nurses, physician assistants, dentists.	a) Varies. b) Varies.	State health departments. State licensing bureau. State managed care association.	
Health Plan Employer Data and Information Set (HEDIS) ■ Provides a set of standardized performance measures designed to give purchasers and consumers the information they need to compare the performance of managed health care plans. ■ Health care providers who advise smokers to quit smoking is the performance measure of interest.	Topics: ■ Cigarette use. ■ Cessation counseling.	National level. Subjects: Commercial health plan members, Medicaid and Medicare recipients.	a) Random design. Mail survey. c) 1996-1999.	Small sample size, low response rate, response bias, and recall bias. 1999 is the most recent data set. Archived data sets may be available for purchase.	National Committee for Quality Assurance. (888) 275-7585. www.ncqa.org
Hospital Discharge Data ■ Provides background information on patient and morbidity through discharge diagnoses, number of days of hospitalization, and treatment.	Topics: ■ Health effects. ■ Length of stay.	Hospital records.	a) Varies. b) Continuous.	Information on smoking status is usually not available or may be misclassified.	State health departments.

Table 3

Table 3. Topic-Specific Tools: Health Systems and Clinical Settings

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
MarketScan Database ■ Provides health data on private companies' insured employees and their dependents, early retirees, ex-employees still on COBRA, and Medicare-eligible retirees with employer-provided Medicare Supplemental plans.	Topics: ■ Paid claims and encounter data related to cessation services.	National level. Subjects: Employees and dependents insured by benefits plans of large employers.	a) Random design, hospital charts, and records. (b and c) ■ Fee for Service: ■ 1987–present. ■ Encounter: 1994–present. ■ Medicare: 1995–present. ■ Benefit Plan Design (compilation of others): 1983–present.	The cost of obtaining the data sets may be prohibitive.	The Medstat Group. (734) 913-3000 www.medstat.com
Quitline Call Monitoring ■ Provides data on the number of calls to quitlines for counseling and referrals. ■ May provide information on success rates.	Topics: ■ Number of calls. ■ Sex and race/ethnicity of callers. ■ Type of cessation information provided.	State level or quitline service area.	a) Varies.	State health departments with quitlines.	
Third Party Payer Surveys ■ Tracks insurance coverage and reimbursement.	Topics: ■ Coverage for cessation services. ■ Health care provider policies related to tobacco.	National and state payers. Subjects: Medicaid, Medicare, private insurers.	a) Varies. b) Not applicable.	Health Care Financing Administration. (800)-Medicare. www.hcfagov State health departments.	Abbreviations: COBRA = Consolidated Omnibus Budget Reconciliation Act.

Table 3 (continued)

Table 4. Topic-Specific Tools: Sales Data

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Food and Drug Administration (FDA) Compliance Checks	<p>Topics:</p> <ul style="list-style-type: none"> ■ Ability of minors to purchase tobacco products. <p>■ Provides data on retailers that complied with the prohibition of the sale of tobacco products to minors.</p> <p>■ Prior to March 21, 2000, the compliance check authorized state and local authorities to survey whether retailers followed the FDA regulation that prohibited the sale of cigarettes and smokeless tobacco to children younger than 18 years.</p>	<p>Subjects:</p> <ul style="list-style-type: none"> National and state levels. Local tobacco retailers. 	<p>a) Random, unannounced visits by state or local officials authorized by the FDA.</p> <p>b) Annual.</p> <p>c) 1997–2000.</p>	<p>Supreme Court ruled that FDA exceeded authority. Data collection suspended on March 21, 2000.</p> <p>Methodology may vary by state.</p>	<p>Food and Drug Administration (888) 453-6332. www.fda.gov/opacom/campaigns/tobacco</p>
Scanner Data	<p>Topics:</p> <ul style="list-style-type: none"> ■ Dollar sales. ■ Unit sales. ■ Volume sales. ■ Sales share. ■ Average selling price. ■ Average promoted price. ■ Average list price. ■ Percentage of stores selling each product. <p>■ Provides market data on tobacco sales using universal product code numbers.</p>	<p>Subjects:</p> <ul style="list-style-type: none"> State or local levels. Retailers using UPC scanners. 	<p>a) Varies.</p> <p>c) 1994–present.</p>	<p>Comparable data on grocery stores are available, but the cost of obtaining the data set may be prohibitive.</p>	<p>AC Nielsen and Company. (770) 482-1939.</p> <p>Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703. www.cdc.gov/tobacco</p>
Substance Abuse and Mental Health Services Administration (SAMHSA) Compliance Checks	<p>Topics:</p> <ul style="list-style-type: none"> ■ Ability of minors to purchase tobacco products. <p>■ Provides data on tobacco sales to minors through unannounced, annual inspections (includes location of establishments).</p> <p>■ This monitoring research was authorized through the Synar Amendment, which mandated the reduction of tobacco sales to minors.</p>	<p>Subjects:</p> <ul style="list-style-type: none"> State and local levels. Tobacco retailers. 	<p>a) Random design.</p> <p>Unannounced visits.</p> <p>b) Methodology may vary by state.</p> <p>c) 1995–present.</p>	<p>Annual report details states' activities to enforce laws. Includes information on successes in reducing tobacco availability to young people, methods used to identify noncompliant retail outlets, inspection procedures, and plans for enforcing the law in the next fiscal year.</p> <p>Comparability of data may be affected by the race and sex of young inspectors.</p>	<p>Substance Abuse and Mental Health Services Administration. (301) 443-8956. www.samhsa.gov/csap</p>

Table 4

✓Data are frequently used and comparable across states.
Abbreviations: FDA = Food and Drug Administration. UPC = Universal Product Code.

Table 4. Topic-Specific Tools: Sales Data

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Tax Revenue Data ■ Provides sales information on tobacco products.	Topics: ■ Sales (number of cigarette packs, cartons, and pounds of tobacco) per capita for cigarettes and smokeless tobacco.	State level. Subjects: Wholesalers and distributors.	a) Receipts collected monthly. b) Varies by state. Usually begins the first year a state collects tobacco excise tax.	The Tobacco Institute was dismantled in 1999, but Orzechowski and Walker, an economic consulting firm financially supported by tobacco companies, has begun publishing an annual report on tobacco sales and consumption.	Orzechowski and Walker. (703) 351-5014. State departments of revenue.
Tobacco License Database ■ Provides data on establishments approved to sell tobacco products. ■ Can be used for monitoring and enforcement. ■ Provides a sample frame for compliance checks or population observation studies.	Topics: ■ Tobacco license or sales permit. ■ Retailer type.	State level. Subjects: Tobacco retailers.	a) Varies. b) Varies.	State enforcement or business regulations agency.	

Table 4 (continued)

Table 5. Topic-Specific Tools: National, State, and Local Policy Tracking

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Restaurant Surveys	<p>Topics:</p> <ul style="list-style-type: none"> ■ Type of restaurant. ■ Smoking policy. ■ Reasons for smoking policy. ■ Projected changes in smoking policy. ■ Presence of bar or lounge. ■ Configuration of seating areas. ■ Number of seats in restaurant. ■ Customer demand for smoking or nonsmoking sections. ■ Attitudes about harmfulness of ETS. ■ Support for local smoke-free ordinances. ■ Tobacco use. 	State and local levels.	<p>a) Random design using business lists. Methodology may vary by state.</p> <p>c) Most of these surveys have been conducted in the last 10 years.</p>	A limited number of states have conducted this type of survey.	Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703 www.cdc.gov/tobacco
Worksite Surveys	<p>Topics:</p> <ul style="list-style-type: none"> ■ Tobacco use. ■ Smoking policies in work areas. ■ Smoking policies in common/public places. ■ Attitudes about smoking indoors. ■ Perceptions of the harmfulness of ETS. ■ Cessation policies. ■ Cessation programs. 	State and local levels.	<p>a) Random design using business lists. Methodology may vary by state.</p> <p>b) Frequency varies by state.</p>	A limited number of states have conducted this type of survey.	Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703 www.cdc.gov/tobacco

Table 5

Abbreviations: ETS = environmental tobacco smoke.

Table 6. Topic-Specific Tools: Pro-Tobacco Advertising and Promotions

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Event Sponsorship				A limited number of states have conducted this type of survey.	State health departments.
■ Provides data on events sponsored by tobacco companies.	Topics:	State and local levels.	a) Observational. b) Varies.		
Nielsen Monitor Plus	Topics:	By market unit (there are 210 units in the country).	a) Census of all full-power commercial broadcasting stations.	Available to clients, distribution firm, or organization.	New Media Services. (212) 708-7500 or (212) 907-4220. www.nielsenmedia.com
■ Provides 24 hours per day tracking of paid commercial activity mainly for television, but also newspaper and radio in certain market regions.	■ Dissemination and frequency of advertisements.	Subjects:	Convenience sample for newspaper and radio commercial activities.	The costs of obtaining the data sets may be prohibitive.	
■ Tobacco advertisements, traced by brand name.		Target population of paid advertisements for all major television stations, and selected newspaper and radio stations.	c) Ongoing since 1995.		
Point-of-Purchase Survey	Topics:	State and local levels.	a) Random design. Unannounced visits.	Surveys may be conducted overtly or covertly.	State health departments.
■ Provides contextual data on retail outlets that can show tobacco sales policies and practices in communities, including attitudes and behaviors.	■ Product placement. ■ Advertising price. ■ Policies and practices of personnel and management.	Subjects:	Methodology may vary by state. b) Varies.		State enforcement agencies.
Product Give-Aways and Promotions	Topics:	State and local levels.	a) Observational. b) Varies.	A limited number of states have conducted this type of survey.	State health departments.
■ Provides data on tobacco promotions and product give-aways.	■ Type of promotions and give-aways. ■ Monetary amount of promotion. ■ Frequency.	Subjects:	Community events and entertainment venues.		

Table 6

Table 7. Topic-Specific Tools: Advertising Tracking and Outcomes Measurement

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Arbitron	<p>Topics:</p> <ul style="list-style-type: none"> ■ Time of day. ■ Amount of time listened. ■ Specific geographical locations. ■ Listener demographics. <p>■ Provides data on which radio stations have the largest reach for the target population.</p> <p>■ Can be used to target media campaign activities and estimate reach.</p>	Based on county level metropolitan markets.	<p>a) Random design. Mail diary.</p> <p>b) Ongoing, since 1950s.</p>	<p>The biggest metropolitan markets are surveyed four times a year.</p> <p>Smaller markets are surveyed twice a year.</p>	Arbitron. (770) 551-1400 or (800) 543-7300. www.arbitron.com
Media Campaign Activity Tracking	<p>Topics:</p> <ul style="list-style-type: none"> ■ Gross rating point (GRP) reach and frequency. <p>■ Provides tracking data on counter-marketing advertisements on TV and radio.</p>	Media campaigns.	<p>a) Varies. b) Varies.</p>	This information is usually provided by the media campaign provider or contractor.	State health departments.
Media Evaluation Survey	<p>Topics:</p> <ul style="list-style-type: none"> ■ Confirmation of exposure. ■ Recall of specific advertisements. ■ Behavior change. <p>■ Provides data on the exposure, awareness, and impact of a paid media campaign.</p>	Target population of media campaign.	<p>a) Random design Repeated follow-up telephone surveys.</p> <p>b) Varies.</p>	Provides pre- and post-information before, during, and after a counter-marketing campaign. A number of states have mounted counter-marketing campaigns.	Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703 www.cdc.gov/tobacco State health departments.
Nielsen Sigma Service	<p>Topics:</p> <ul style="list-style-type: none"> ■ Air time and frequency of advertisement. <p>■ Provides 24 hours per day tracking of paid and unpaid public service announcements and video news releases.</p> <p>■ Tracking is done by advertisement master code.</p>	Market unit level of advertisements.	<p>a) Census of all full-power commercial broadcasting stations.</p> <p>b) Ongoing since 1989.</p>	Available to ordering client, distribution firm, or organization. The costs obtaining the data sets may be prohibitive.	New Media Services. (727) 738-3960. www.nielsenmedia.com State health departments.
Video Monitoring Service	<p>Topics:</p> <ul style="list-style-type: none"> ■ Tobacco key words. <p>■ Tracks broadcast coverage of TV, radio, print, and outdoor advertisements.</p>	Advertisements on TV, radio, print, and outdoors.	<p>a) Census of full-power commercial broadcasting stations.</p> <p>b) Ongoing since 1996.</p>	Number of media sources depends on region.	Video Monitoring Services. (212) 736-2010. www.vidmon.com

Table 7

Table 8. Topic-Specific Tools: Community

Data Source	Tobacco-Related Indicators	Sampling Frame	Methodology (a), Frequency (b), Years Completed (c)	Comments	Contact
Key Informant Surveys ■ Provides data on awareness and attitudes of leaders and influential persons on tobacco issues from various sectors of the community, including law enforcement, business, faith, education, etc.	Topics: ■ Importance of tobacco-related issues. ■ Investment in health.	Community level. Subjects: Leaders, potential partners, and other influential persons.	a) Varies (e.g., snowball, quota sample, in-person or telephone survey). b) Varies.	A limited number of states have conducted this type of survey.	State health departments.
Local Program Monitoring ■ Provides data on local tobacco control program infrastructure, staff, resources, and objectives.	Topics: ■ Staffing. ■ Resources. ■ Activities.	Local level. Subjects: Program manager and project coordinators.	a) Varies (e.g., self-administered progress report) b) Varies.	A limited number of states have conducted this type of survey.	Office on Smoking and Health, Centers for Disease Control and Prevention. (770) 488-5703. www.cdc.gov/tobacco State health departments.

Table 8